

# Imputing HumanHT-12 Expression BeadChip Data

GenomeStudio® Gene Expression Module offers users the option to impute data for HumanHT-12 BeadChip bead types using a well-established algorithm.

#### Introduction

The HumanHT-12 v4.0 BeadChip is Illumina's highest throughput, lowest cost solution for whole-genome expression profiling and expression-based quantitative trait loci (eQTL) studies. This BeadChip contains 12 arrays, each featuring more than 48,000 probes that provide genome-wide coverage of well-characterized genes, gene candidates, and splice variants. Illumina guarantees that on any given HumanHT-12 BeadChip array more than 99.98% of the bead types, or probes, will be present. On average, each bead type will be represented with 15-fold redundancy; however, up to nine bead types may be represented by only 0, 1, or 2 copies on a given array, resulting in missing data. Because many downstream analyses such as normalization, clustering, and principal component analysis need complete data sets, Illumina GenomeStudio analysis software requires researchers to impute or exclude missing HumanHT-12 BeadChip data in their gene expression projects.

## Missing Data in GenomeStudio

GenomeStudio Gene Expression Module offers users the option to impute or exclude missing bead types at project creation and before reanalyzing a project. After HumanHT-12 BeadChip data have been loaded, GenomeStudio detects any missing bead types. If missing bead types are detected, a dialog box appears asking the user if they would like to impute the missing data (Figure 1). Choosing to impute missing data estimates the value for missing bead types, allowing 100% of the bead types to be analyzed in the project. In contrast, choosing not to impute missing data will result in GenomeStudio excluding the missing bead types for all microarrays in the project. When a bead type is missing on any microarray in a project, that bead type will be excluded from all the micorarrays of the project even if valid measurements were made for the other microarrays. GenomeStudio will create a new table that displays the excluded or imputed probes (Figure 2).

# k-NN Data Imputation Algorithm

GenomeStudio uses the k-Nearest Neighbor (k-NN) algorithm to estimate values for missing bead types on the HumanHT-12 BeadChip. This well-established algorithm is commonly used to impute data and has been shown to be a robust method for estimating values for missing microarray data<sup>1</sup>.

The k-NN imputation algorithm selects genes with expression values similar to the gene of interest in imputing the missing value. For each missing value, the method identifies other genes with expression most similar to the genes of interest. The weighted average of values for those respective genes is then used to estimate the missing values. In this way, the k-NN imputation algorithm provides a robust and sensitive method for missing value estimation. Refer to the following section for a more detailed description of the k-NN imputation algorithm.

#### Imputing Data In GenomeStudio

The following steps describe in more detail how GenomeStudio imputes missing data using the k-NN imputation algorithm.

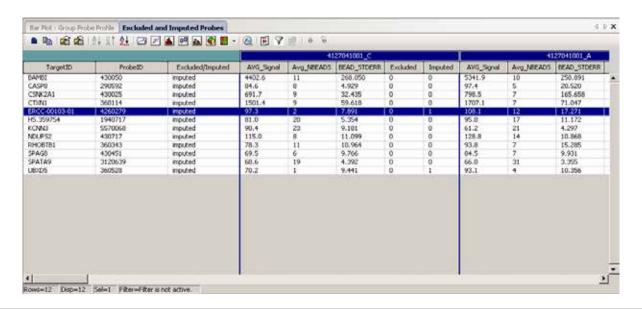
- Let X<sub>ip</sub> denote a matrix of HumanHT-12 BeadChip data with i samples and p bead types that contains all missing and nonmissing intensity values.
- Filter X<sub>ip</sub> to obtain a matrix, X<sub>ij</sub> containing non-missing intensity values for i samples and j remaining bead types.
- 3. Let Yim denote a matrix of m bead types across i samples that have a missing value in at least one sample. If, for example, bead type m = 1 has a missing intensity value in sample i = 1, GenomeStudio identifies the non-missing intensity values, denoted by zim, in the remaining samples where i ≠1 and m = 1.
- Within each sample i ≠1, Euclidean distances are calculated from the zim intensity values to all other bead type intensity values in X<sub>i</sub>.
- 5. Within each sample, k nearest neighbors, where k = 15, are determined between zim and  $X_{ij}$ .
- The distance weighted average is calculated using the 15 nearest neighbors.
- The missing value for bead type m = 1 is replaced with the weighted average.
- GenomeStudio repeats this for all missing bead types. On each HumanHT-12 BeadChip array, there will be no more than 0.01% of probes, or five bead types, missing.

Figure 1: Impute Or Exclude Dialog Box



If GenomeStudio detects missing data, the Impute or Exclude dialog appears. If GenomeStudio does not identify missing data, the project is created and appears in the GenomeStudio main window.

Figure 2: Excluded And Imputed Probes Table



All missing data, whether imputed or excluded, appear in the Excluded and Imputed Probes table. In this table, the Excluded/Imputed column shows whether a probe was excluded or imputed. Every sample column has Excluded and Imputed subcolumns with values of 0 or 1. A value of 1 indicates that this bead type is "missing" because the number of beads present is fewer than the defined limit of three. If the user chooses to exclude a given bead type in one sample, the bead type will be excluded across all samples in the project.

# Summary

In order to support higher throughput and more cost-effective expression analysis, the 12-sample HumanHT-12 v4.0 BeadChip contains fewer beads per bead type. As a result, up to nine bead types may not be represented on a given HumanHT-12 BeadChip array. To enable data to be collected on 100% of the bead types, Illumina's GenomeStudio Gene Expression Module allows users to impute missing data using a well-established algorithm for estimating microarray data.

### **Additional Information**

For more information about Illumina gene expression solutions, please visit www.illumina.com or contact us at the address below.

#### References

 Troyanskaya O, Cantor M, Sherlock G, Brown P, Hastie T, et al. (2001) Missing value estimation methods for DNA microarrays. Bioinformatics 17(6): 520–525.

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